GP 2765\$



U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

| AMENDMENT TRA | NSMITTAL LETTER | Docket Number 2656/2 | | |
|--|-------------------------------|-----------------------------|---------------|--|
| Application Number 08/976,159 | Filing Date November 21, 1997 | Examiner Romain Jeanty | Art Unit 2765 | |
| Invention Title ENHANCED MATCHING APPARATUS AND METHOD FOR POST-TRADE PROCESSING AND SETTLEMENT OF SECURITIES TRANSACTIONS | | Inventor(s) BRANDER, et al. | 99 MAY | |

Address to:

Assistant Commissioner for Patents Washington, D.C. 20231

MAY 20 AM 9: 36 GROUP 2700

Transmitted herewith is an Amendment for entry in the above-identified application:

1. The filing fee has been calculated as shown below:

| | CLAIMS REMAINING AFTER AMENDMENT | | HIGHEST NUMBER PREVIOUSLY PAID FOR | PRESENT NUMBER EXTRA | RATE (\$) | FEE (\$) |
|-----------------------------------|---|-------|---|----------------------------|-----------|----------|
| TOTAL CLAIMS | 36 | minus | 32 | 4 | 18.00 | 76.00 |
| INDEPENDENT CLAIMS | 9 | minus | 9 | 0 | 78.00 | 0.00 |
| MULTIPLE DEPENDENT CLAIM ADDED | | | | | 260.00 | 0 |
| | | | | | TOTAL | 76.00 |
| SMALL ENTITY | | | | ITY TOTAL | | |

2. Please charge the required additional claims fee of \$76.00 to the deposit account of Kenyon & Kenyon, deposit account number 11-0600.

- The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to the deposit account of Kenyon & Kenyon, deposit account number 11-0600:
 A. Any additional filing fees required under 37 C.F.R. § 1.16;
 B. Any additional patent application processing fees under 37 C.F.R. § 1.17;
 C. Any additional patent issue fees under 37 C.F.R. § 1.18;
 - D. Any additional document supply fees under 37 C.F.R. § 1.19;
 - E. Any additional post-patent processing fees under 37 C.F.R. § 1.20; or
 - F. Any additional miscellaneous fees under 37 C.F.R. § 1.21.
- 4. A duplicate copy of this letter is enclosed.

Dated: 5/- 5/ 4 4 By: ___

Caleb J. Pollack (Reg. No. 37,912)

KENYON & KENYON One Broadway New York, N.Y. 10004 (212) 425-7200 (telephone) (212) 425-5288 (facsimile)